Timothy Shawn Strother

1) Personal Information

Date of Birth: 11 June 1970

Married (Katherine H. Strother) with 1 child (Timothy Howard Strother)

2) Qualifications

Qualification:	<u>Institution:</u>	Date of Graduation:
Ph.D. in Finance	University of Tennessee-Knoxville	2003
M.B.A.	East Carolina University	1998
B.S.B.A	East Carolina University	1992
Chartered Financial Analyst	CFA Institute	1999

Certificate for SCA/IOSCO Training Program: INVESTOR PROTECTION: Investor Education,

Corporate Governance and Sanctions for Market Abuse Dec. 2012
Bloomberg Market Concepts Certification (BMC) June 2016

3) Employment History

University of Nebraska-Lincoln, Assistant Professor of Practice in Finance, Aug. 2014-Present American University in Dubai, Associate Professor in Finance, Jan. 2014-June 2014

Zayed University, Assistant Professor of Finance, Jan. 2011- Dec. 2013

University of Otago, Lecturer, Jan. 2007 - Jan. 2011

East Carolina University, Assistant Professor, Aug. 2003-Dec. 2006

The University of Tennessee, Teaching/Research Assistant, 1999–2003

East Carolina University, Lecturer, Spring 1999

International Business Machines, Financial Analyst/ Pricing, 1998

Prudential Securities, Financial Advisor, 1994-1997

Olde Stockbrokers, Registered Representative, 1992-1994

4) Distinctions/ Teaching Awards (Last three years only)

University of Nebraska-Lincoln, Certificate of Recognition for Contributions by the Parents Association and the Teaching Council of the University of Nebraska-Lincoln, 2016, 2018

5) Teaching Activities (Last three years only)

FINA 465 Commercial Banking (Undergraduate)

FINA 450 International Financial Management (Undergraduate)

FINA 365 Financial Markets & Institutions (Undergraduate)

6) Service (Last three years only)

- a) University of Nebraska-Lincoln College of Business
 - a) COB Chair Scholarship, Honors, and Awards Committee 2018, 2019
 - b) Voting Member of COB Scholarship, Honors, and Awards Committee 2016, 2017
 - c) Faculty Lead for Winter 2019 Student Study Abroad Program to Panama
 - d) Faculty advisor to the Big Red Investment Club (BRIC) 2018-PRESENT
 - e) Creating Database of Articles Published in the Top 3 Actuarial Sciences journals with Yijia Lin. Goal to post interactive interface on Actuarial Science webpage.
 - f) Take a Parent to Lunch (TAPTL) Two times in Summer 2015, 2016
 - g) Ad-hoc Curriculum Committee, 2015
 - h) Volunteered to serve as Department of Finance and Actuarial Science Representative to the University Senate
 - i) Volunteered to lead the Summer 2015 Study Abroad program to China (Insufficient number of students accepted to meet minimum course enrollment requirement)

7) Publications

Books

T. Shawn Strother, Study Guide to Accompany *Financial Markets and Institutions*, 5th *edition*, Frederic Mishkin and Stanley Eakins, <u>Addison Wesley</u>, July 2005.

Refereed Journal Articles

- a) Michael S. Pagano and T. Shawn Strother, 2018, "The Impact of Risk, Uncertainty, and the Perceived Threat of Terrorist Attacks on Equity Markets," <u>International Review of Business Research Papers</u>, 14. 2, 76-102.
- b) Michael S. Pagano and T. Shawn Strother, 2013, "Risk, Uncertainty, and the Perceived Threat of Terrorist Attacks: Evidence of Flight-to-Quality," Quarterly Journal of Finance 3. 2, 25 pages.
- c) T. Shawn Strother and Samuel L. Tibbs, 2011, "Ratio Scoring: An Application to Ratios Specified by Standard & Poor's to Be Key Input to Determining Credit Ratings," <u>Journal of Applied Finance</u> 21, 2, 58-72.
- d) T. Shawn Strother, James Wansley, and Phillip Daves, 2009, "Electronic Communication Networks, Market Makers, and the Components of the Bid Ask Spread," <u>International Journal of Managerial Finance</u> 5, 1, 81-109.
- e) Harold Black, Alan Schlottman, and T. Shawn Strother, 2004, "The Responsiveness of Financial Markets to Regulatory Change," <u>The International Journal of Finance</u>, vol. 16, no 3, Nov., 3058-3071.
- f) James Stotler and T. Shawn Strother, 1999, "Mercury Finance Company," <u>International</u> Academy for Case Studies, 5, nos. 1&2, Spring, 1-6.

Refereed Conference Proceedings

- a) Strother, T. S. & Pagano, M. (2013). "Risk, Uncertainty, and the Perceived Threat of Terrorist Attacks," <u>Midwest Finance Association 62nd Annual Conference</u>, Chicago, IL, USA.
- b) Michael Pagano and T. Shawn Strother, "The Homeland Security Advisory System and the U.S. Stock Market: How Changes in the Threat Condition Affect Equity Returns," <u>New</u> <u>Zealand Finance Colloquium</u>, Massey University, Palmerston North Campus, Feb. 14-15, 2008 (available on CD)
- b) James Stotler and T. Shawn Strother, 1998, "Ethics in Investment Analysis and Advising," Co-authored with James Stotler, <u>Academy of Accounting and Financial Studies</u>, Volume 3, Number 2, October, 202-206.

Other Significant Conference Involvement

- a) 2019 Student Managed Investment Fund Consortium (SMIFC), October 30-31, Chicago IL. Judged the SMIF Poster Board Competition.
- b) Strother, T. S. & Pagano, M. (2013). "Risk, Uncertainty, and the Perceived Threat of Terrorist Attacks: Evidence of Flight-to-Quality," <u>American University of Sharjah 5th Annual Research Symposium in Business & Economics</u>, May 2, 2013, Sharjah, UAE.
- c) Reviewer for 3rd Annual AIB-MENA Conference, January 2013, Cairo, Egypt.
- d) Harold A. Black, Elijah Brewer III, William E. Jackson III, T. Shawn Strother, November 17-20, 2010, Asheville, North Carolina.
- e) Michael Pagano and T. Shawn Strother, "Risk, Uncertainty, and the Perceived Threat of Terrorist Attacks: The Advisory System that Cried Wolf?" <u>FMA Annual Meeting</u>, Reno, NV., U.S.A., Oct. 21-24, 2009.
- f) Michael Pagano and T. Shawn Strother, "Risk, Uncertainty, and the Perceived Threat of Terrorist Attacks: The Advisory System that Cried Wolf?" <u>Southern Finance Association 2008</u> <u>Annual Meeting</u>, Key West, FL., U.S.A., Nov. 19-22, 2008.
- g) Michael Pagano and T. Shawn Strother, "The Homeland Security Advisory System and the U.S. Stock Market: How Changes in the Threat Condition Affect Equity Returns," <u>20th</u> <u>Australasian Finance & Banking Conference</u>, Dec. 12-14, 2007.
- h) Salman Siddiqi and T. Shawn Strother, "PSCORE: Publication Record Measurement with an application to New Zealand Business Faculty," <u>Performance Management: Perspectives and Agendas Inter-disciplinary Research Colloquium</u>, University of Otago, Dec. 12, 2007.
- Phillip Daves, T. Shawn Strother, and James Wansley, "Alternative Trading Systems and Informed Trading," <u>Financial Management Association Annual Meeting</u> (Naples, FL.), November 2004.
- j) Phillip Daves, T. Shawn Strother, and James Wansley, "Alternative Trading Systems and Informed Trading," <u>Financial Management Association Annual Meeting</u> (New Orleans, LA.), October 2004.
- k) Phillip Daves, T. Shawn Strother, and James Wansley, "The Impacts of Electronic Communication Networks on the Components of the Inside Bid/Ask Spreads," <u>Financial Management Association Annual Meeting</u> (New Orleans, LA.), October 2004.

- 1) T. Shawn Strother, "An Ordered Probit Analysis of Transaction Stock Prices: The Effects of Decimalization," <u>Southern Finance Association Annual Meeting</u> (Key West, Florida), 2002.
- m) Yatin Bhagwat, Mike Ehrhardt, T. Shawn Strother, and Samuel Tibbs, "U.S. Treasury STRIPs: The Value of Stripping in a Market with Frictions and Limited Arbitrage," <u>Financial Management Association Annual Meeting</u> (San Antonio, Texas), 2002.
- n) Mike Ehrhardt, T. Shawn Strother and Sam Tibbs, "The Earnings Management Relationship with Underwriter Reputation, Grandstanding in the Venture Capital Industry, and the Long-Run Performance of Initial Public Offerings," <u>Eastern Finance Association Annual Meeting</u> (Baltimore, Maryland), 2002.
- o) Phillip Daves, T. Shawn Strother, and James Wansley, "The Impacts of Electronic Communication Networks on the Components of the Inside Bid/Ask Spreads," <u>Eastern Finance Association Annual Meeting</u> (Charleston, South Carolina), 2001.
- p) T. Shawn Strother and James Stotler, "Ethics in Investment Analysis and Advising," Academy of Accounting and Financial Studies, <u>Allied Academies Meeting</u> (Las Vegas, Nevada), 1998.

8) Working Papers/ Works-in-Progress

- a) Yijia Lin, Gathering data to investigate which universities' faculty publish in the Top 3 Actuarial Sciences Journals over the 5 and 10 Year Periods
- b) Guang Chen and T. Shawn Strother, "On the Contribution of Index Exchange Traded Funds to Price Discovery in the Presence of Price Limits without Short Selling," January 2010.
- c) Harold Black, Elijah Brewer, T. Shawn Strother, and William Jackson, "Cross Ownership and Shareholder Value in Bank Mergers," June 2010.
 Salman Siddiqi and T. Shawn Strother, "PSCORE: Publication Record Measurement with an application to New Zealand Business Faculty," May 2008.
- d) Thomas Forrow and T. Shawn Strother, "The Dynamics of Pacific Rim Swap Rate Spreads," March 2008.